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United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

February 15, 2001

PATENT

(3)

Case Docket No. PERMLT.019A Date: February 15, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

John Popovich;

Gregory W. Honegger

App. No.

09/693,548

Filed

October 19, 2000

For

MOUNTING

ARRANGEMENT FOR

LIGHT EMITTING DIODES

Group Art Unit:

Unknown

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on December 15, 2000, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) This application qualifies for Small Entity Status.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.

Case Docket No. PERMLT.019A

Date: February 15, 2001

| (X) | Fees a | as cal | lculated | below: |
|------|--------|--------|----------|--------|
| (12) | 1 000 | us cu | culaica | DCIOW. |

| FILING FEE | \$ | 710 |
|--|------|-------|
| FEE FOR EXTENSION OF TIME (LARGE ENTITY) months | \$ | 0 |
| SURCHARGE 37 CFR 1.16(e) | \$ + | + 130 |
| FEE FOR ADDITIONAL CLAIMS (23 CLAIMS OVER 20) | \$ | 414 |
| TOTAL OF ABOVE CALCULATIONS | \$ | 1254 |
| REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY. | | |
| Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. | \$ - | 627 |
| TOTAL FEES SUBMITTED HEREWITH | \$ | 627 |

- (X) A check in the amount of \$627 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.

Glen L. Nuttall

Registration No. 46,188 Attorney of Record





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03C0

PATENT

Case Docket No. PERMLT.019A Date: February 15, 2001

Page 1

In re application of

John Popovich, et al

App. No.

09/693,548

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October 19, 2000

For

MOUNTING ARRANGEMENT

FOR LIGHT EMITTING

DIODES

Examiner

Unknown

Art Unit

Unknown

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is a preliminary amendment in the above-identified application.

(X) Return prepaid postcard.

(X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

Glen L. Nuttall

Registration No. 46,188 Attorney of Record

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 2023I VOQ.otqeu.www

Page 1 of 2

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/693,548

10/19/2000

John Popovich

PERMLT.019A

20995 KNOBBE MARTENS OLSON & BEAR LLP 620 NEWPORT CENTER DRIVE SIXTEENTH FLOOR NEWPORT BEACH, CA 92660



FORMALITIES LETTER
OC000000005622922

Date Mailed: 12/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$414.
 - **\$414** for **23** total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1254.

| | | 555 000 |
|---|------------|-----------------------|
| A copy of this notice <u>MUST</u> be returned with the reply. | 09693548 | 355.0 65.0 65.0 |
| Customer Service Center | 00000044 | |
| Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE | RHARIS1 | |
| file://C:\APPS\PreExam\correspondence\2_B.xml | 02/22/2001 | ଞ୍ଚଳ 2/15/00 |